

Format for Reference Citations

One of the two following systems maybe used in citing references and compiling the bibliography in the thesis.

1. Author – year system
2. Numeric system

In either system, the following two elements for citing references shall enable others to retrieve the material consulted in the thesis.

- a) reference citations within the text
- b) list of references

Author – year system of citing references

a) **Reference Citations in Text**

The following examples illustrate how author – year citations are incorporated into the text.

Works by a single author:

The last name of the author and the year of publication are inserted in the text at appropriate point in parenthesis.

E.g. In a recent study of reaction times (Walker, 2000)

If the name of the author appears as part of the narrative, cite only the year of publication in parentheses.

E.g. Walker (2000) compared reaction times

Works by multiple authors:

When a work has two authors, always cite both names every time the reference occurs in the text.

E.g. As has been shown (Joreskog and Sorbom, 1989)

In all subsequent citations, include only the surname of the first author followed by “et al.” (Latin for “and others”) and the year of publication.

E.g. According to Brown et al. (1994)

Works by associations, corporations, etc.:

The names of groups that serve as authors (corporate authors) are usually written out the first time they appear in the text reference and abbreviated in subsequent citations.

First reference

E.g. The Institute for Genomic Research (TIGR) (1999)....

Subsequent reference

E.g. TIGR (1999)....

Works with no author:

When a work has no author, use the work's title as your text reference, capitalizing the beginning of each word.

E.g. According to IRRI Rice Facts (1997)

b) List of references

References cited in the text of the thesis must appear in the Reference List. This list provides the information necessary to identify and retrieve each source.

Basic Rules

- Authors' names are inverted (last name first); give only the last name and initials of authors in the reference list.
- Names of all authors of a particular work should be provided unless the work has more than six authors, in which case name the first six authors and then use et al. after the sixth author's name to indicate the rest of the authors.
- When a single work has multiple authors use commas to separate author names, then use “&” instead of the word “and” between the last two names.
- Entries should be arranged in alphabetical order by the last name of the first author of each work.
- If several articles by the same author (or by multiple authors in the exact same order) are cited, list these in chronological order starting with the earliest.
- If more than one reference by the same author (or the same group of authors listed in the same order) published in the same year are used, organize them in the reference list alphabetically by the title of the article or chapter and assign letter suffixes to the year.
- When an author appears both as a sole author and, in another citation, as the first author of a group, list the one-author entries first.
- Capitalize only the first letter of the first word of a title and subtitle and any proper nouns that are part of a title in books. Capitalize the first letter of all major words in journal titles.
- Use italics for titles of books and journals.
- Use abbreviation p. or pp. to designate page numbers of articles from periodicals, chapters from edited books and newspapers.
- Keep the first line of the entry flush with the left margin, and all subsequent lines indented (5 to 7 spaces) to form a “hanging indent”.

The above points and others are illustrated in the following examples.

Articles in periodicals

Basic Form

Authors names are followed by publication year which is given in parentheses, followed by a period. Only the first letter of the first word and proper nouns in the title of the article are capitalized. First letter of all words in the periodical title are capitalized. Periodical title is followed by the volume number which, with the title, is also italicized.

Single author:

Doyle, J. J. (1997). Trees within tress: genes and species, molecules and morphology.
Systematic Biology, 46, 537 – 553.

Two to six authors:

Wolfe, K. H., Gouy, M., Yang, Y. –W., Sharp, P. M. & Li, W. –H. (1989). Date of the monocot-dicot divergence estimated from chloroplast DNA sequence data. *Proceedings of the National Academy of Science, USA*, 86: 6201 – 6205.

More than six authors:

Paterson, A., Bowers, J., Burow, M., Draye, X., Elisk, C., Sciara, E. B. et al. (2000). Comparative genomics of plant chromosomes. *Plant Cell*, 12, 1523 – 1539.

Two or more works by the same author:

References that have the same first author and different second and/or third authors are arranged alphabetically by the last name of the second author, or the last name of the third if the first and second authors are the same.

Wegener, D. T., Kerr, N. L., Fleming, M. A., & Petty, R. E. (2000). Flexible corrections of juror judgments: Implications for jury instructions. *Psychology, Public Policy, & Law*, 6, 629-654.

Wegener, D. T., Petty, R. E., & Klein, D. J. (1994). Effects of mood on high elaboration attitude change: The mediating role of likelihood judgments. *European Journal of Social Psychology*, 24, 25-43.

Two or more works by the same author in the same year:

If you are using more than one reference by the same author (or the same group of authors listed in the same order) published in the same year, organize them in the reference list alphabetically by the title of the article or chapter. Then assign letter suffixes to the year. Refer to these sources in your theses as they appear in your reference list, e.g.: "Berndt (1981a) makes similar claims..."

Berndt, T. J. (1981a). Age changes and changes over time, 17, 408-416.

Berndt, T. J. (1981b). Effects of friendship on prosocial intentions and behavior. *Child Development*, 52, 636-643.

Article in Journal Paginated by Volume:

Journals that are paginated by volume begin with page one in issue one, and continue numbering issue two where issue one ended, etc.

Hawkins, J. D. (1988). A survey on intron and exon lengths. *Nuclie Acids Research*, 16, 9893-9908.

Article in Journal Paginated by Issue:

Journals paginated by issue begin with page one every issue; therefore, the issue number gets indicated in parentheses after the volume. The parentheses and issue number are not italicized or underlined.

Scruton, R. (1996). The eclipse of listening. *The New Criterion*, 15(30), 5-13.

Article not yet Published:

Perera, G. A. A survey of soils in Sri Lanka. *Journal of the National Science Foundation of Sri Lanka*, in press.

Abstract of a Conference Paper:

Perera, T. D. N. , Impact of decay on the structure and behaviour of traditional mural paintings. *Abstracts of papers*, 61st meeting of the Sri Lanka Association for the Advancement of Science, Colombo, Sri Lanka, 2005, 33.

Reference to Abstract of an Article:

Karunaratne, W. M. A. A. & Dissanayake, C. B., 1983. The distribution of gold mines and mining villages in ancient Sri Lanka. Abs. *First Geology Symposium of Sri Lanka*: University of Peradeniya.

Monograph:

Gunatilleke, C. V. S., (1996). *A nature guide to the World's End trail, Horton Plains*. Peradeniya Science Publications 5.

Letter to the Editor:

Moller, G. (2002, August). Ripples versus rumbles [Letter to the editor]. *Scientific American*, 287(2), 12.

Article in a Magazine:

Henry, W. A., III. (1990, April 9). Making the grade in today's schools. *Time*, 135, 28-31.

Books

Basic format for books

Reference to a book must include the following elements: author(s) or editor(s), date of publication, title, place of publication, and the name of the publisher in that order.

Note: For "place of publication," the city should be stated, but if the city is unfamiliar the state should be named.

Follow the examples below.

Frankham R., Ballou J. D., & Briscoe D. A. (2002). *Introduction to conservation genetics*. Cambridge University Press, Cambridge.

Edited book (no author):

Stern, R. D., Coe, R., Allan, E. F., & Dale, I. C. (Eds.). (2004). *Good statistical practice for natural resources research*. CABI Publishing, Wallingford, Oxfordshire.

Edited book with an author(s):

Plath, S. (2000). *The unabridged journals* (K. V. Kukil, Ed.). Anchor, New York.

Article or chapter in edited book:

References to an essay or chapter in an edited book must include the following elements: essay or chapter authors, date of publication, essay or chapter title, book editor(s), book title, essay or chapter page numbers, place of publication, and the name of the publisher.

NOTE: When you list the pages of the chapter or essay in parentheses after the book title, use "pp." before the numbers: (pp. 1-21). This abbreviation, however, does not appear before the page numbers in periodical references, except for newspapers.

Staba, E. J., (1997). Tissue culture and pharmacy. In J. Reinert & Y. P. S. Bajaj (Ed.), *Applied and fundamental aspects of plant cell, tissue and organ culture* (pp. 694 – 703). Narosa Publishing House, New Delhi.

A translation:

Laplace, P. S. (1951). *A philosophical essay on probabilities* (F. W. Truscott & F. L. Emory, Trans.). New York: Dover. (Original work published 1814)

NOTE: When you cite a republished work, like the one above, in your text, it should appear with both dates: Laplace (1814/1951).

Thesis:

Cregg, B. M. (1990). Net photosynthesis and carbon allocation of loblolly pine (*Pinus taeda* L.) branches in relation to three levels of shade. Ph.D. thesis, University of Georgia, USA.

No author or editor:

Merriam-Webster's collegiate dictionary (10th ed.). (1993). Merriam Webster, Springfield.

Multivolume work:

Wiener, P. (Ed.). (1973). *Dictionary of the history of ideas* (Vols. 1-4). Scribner's, New York.

Numeric system of citing references

a) Reference Citations in Text

In the numeric system the first reference cited in the text is identified by the Arabic numeral 1, and the others that follow are given serial numbers in ascending order. These citation numbers may be introduced into a text within square brackets or as superscripts. E.g.:

.....text[7].....text[7,8].....text[4,7-10]....

.....text⁷.....text^{7,8}.....text^{4,7-10}....

Use of this system does not preclude mentioning an authors name also.

E.g.: The results reported by Smith⁷ are.....

b) List of references

The reference list is compiled in the ascending order of the citation numbers in the text.

Journal article:

1. Nakamishi, T., Ito, K., *Sol. Energy. Mat.* **1994**, 35, 171.

Article in Journal Paginated by Volume:

2. Hart, T. W., *J. Chem. Soc. Chem. Commun.* **1979**, 156.

Article in Journal Paginated by Issue:

3. Haggin, I., *Chem. Eng. News.* **1985**, 63 (42), 23–25.

Translation Journal:

4. Volpin, M. E., *J. Gen. Chem. USSR (Engl. Transl.)* **1960**, 30, 1207; *Zh. Obshch. Khim.* **1960**, 30, 1187.

Paper not yet published:

5. Ariyaratne, K. A. N.S., *J. Natl. Sci. Found. Sri Lanka.* in press.

Monograph:

6. Soo, S. L., *Fluid dynamics of multiphase systems.* New York: Blaisdell, 1967.

Books:

7. Smith, J. D., Crawford, F., *Hormonal Meidators in Bees.* Greenvillage: The New Science Press, 1985.

Edited Books:

8. Cleerfield, A. (Ed.) *Inorganic Ion Exchange Materials.* Boca Raton: CRC Press, 1982.

Chapter in an Edited Book:

9. Stoeppler, M and Nireuberg, W., in: *Metalle in der umwelt*; Merian, E. (Ed.) Wlinheim: Verlag Chemie, 1984; Chapter 1, 4a.

Abstract of a conference paper:

10. Dissanayake, M. A. K. L., *Abstracts of papers.* 51st Meeting of the Sri Lanka Association for the Advancement of Science, Colombo, Sri Lanka, 1986, 513.

Thesis:

11. Perera, J. M. S., M. Phil. Thesis, University of Colombo, 1982.

Electronic references

These should not appear in the reference list. Instead, the reference should be placed as a foot-note in the text, on the page it is first referred to, with the following information.

A reference of an internet source should provide a document title or description, and an address (the URL) in angle brackets, and the date on which it was down-loaded. Whenever possible, identify the authors of a document as well.

Do not insert a hyphen if you need to break a URL across lines; instead break the URL after a slash or before a period.
